

# Lecture 1

Motivation: some basic problems.

1) Let  $Q(x) = \sum_{i,j=1}^d a_{ij} x_i x_j$ ,  $a_{ij} \in \mathbb{R}$ , be a nondegenerate quadratic form.

For  $g \in GL_d(\mathbb{R})$ ,  $Q^g(x) = Q(g \cdot x)$ .

$Q_1$  and  $Q_2$  are equivalent  $\left( \begin{matrix} \text{over } \mathbb{R} \\ \text{over } \mathbb{Z} \end{matrix} \right)$  if

$$Q_1^g = Q_2 \text{ for some } \left( \begin{matrix} g \in GL_d(\mathbb{R}) \\ g \in GL_d(\mathbb{Z}) \end{matrix} \right).$$

Linear algebra  $\Rightarrow$  Every quadratic form is equivalent over  $\mathbb{R}$  to  $x_1^2 + \dots + x_p^2 - x_{p+1}^2 - \dots - x_d^2$ .

Problem: which quadratic forms are equivalent over  $\mathbb{Z}$ ?

2)  $Q(x) =$  a quadratic form with rational coefficients.

Problem: Describe the set  $X(\mathbb{Z})$  of integral solutions of  $Q(x) = n$ .

$$G = \{ g \in GL_d(\mathbb{Q}) : Q^g = Q \} = O_Q(\mathbb{Q})$$

$\uparrow$  orthogonal group.

Answer: If  $Q$  is nondegenerate and  $n \neq 0$ ,  $X(\mathbb{Z})$  is union of finitely many orbits of  $G(\mathbb{Z})$ .

## Algebraic & arithmetic groups

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Def A subgroup  $G < GL_d(\mathbb{C})$  is called algebraic if  $G = \{g \in GL_d(\mathbb{C}) : P_1(g) = \dots = P_s(g) = 0\}$  where  $P_1, \dots, P_s \in \mathbb{C}[X_{ij} : i, j = \overline{1, d}]$ .

It is defined over  $\mathbb{Q}$  if  $P_i$ 's can be chosen to have coefficients in  $\mathbb{Q}$ .

examples:  $SL_d(\mathbb{C}) = \{g : \det(g) = 1\}$   
 $O(\mathbb{Q}) = \{g : \mathbb{Q}g = \mathbb{Q}\}$   $\mathbb{Q}$ -quadratic form.  
 $Sp_{2d}(\mathbb{C}) = \left\{ g : \begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix} g = \begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix} \right\}$

Def  $\Gamma_1, \Gamma_2 < G$  are comensurable if  $\Gamma_1 \cap \Gamma_2$  has finite index in  $\Gamma_1$  and  $\Gamma_2$ .

Def A subgroup  $\Gamma < GL_d(\mathbb{C})$  is called arithmetic if  $\Gamma$  is comensurable with  $G(\mathbb{Z})$  where  $G$  is an algebraic group defined over  $\mathbb{Q}$ .

Lemma. Let  $\Gamma_1 < G_1$  and  $\Gamma_2 < G_2$  be arithmetic subgroups of algebraic groups, defined over  $\mathbb{Q}$ , and  $\varphi: G_1 \rightarrow G_2$  an isomorphism, defined over  $\mathbb{Q}$ . Then  $\varphi(\Gamma_1)$  is comensurable with  $\Gamma_2$ .

Proof. We have  $\varphi(g)_{ij} - \delta_{ij} = P_{ij}(g_{ij} - \delta_{ij})$ , where  $P_{ij}$ 's are rational polynomials, such that  $P_{ij}(0, \dots, 0) = 0$ , since  $\varphi(id) = id$

Let  $m = [ \text{common denominator of coefficients of } P_{ij}'s ]$

$$\Gamma_1(m) = \{ \gamma \in \Gamma_1 : \gamma = id \pmod{m} \}$$

↑ a congruence subgroup of  $\Gamma_1$ .

Then  $\varphi(\gamma)_{ij} - \delta_{ij} \in \mathbb{Z}$  for  $\gamma \in \Gamma_1(m)$ .

Hence,  $\varphi(\Gamma_1(m)) \subset G_2(\mathbb{Z})$ .

This implies that  $\varphi(\Gamma_1(m)) \cap \Gamma_2 \stackrel{f.i.}{\subset} \varphi(\Gamma_1(m)) \stackrel{f.i.}{\subset} \varphi(\Gamma_1)$ .

In particular,  $\varphi(\Gamma_1) \cap \Gamma_2 \stackrel{f.i.}{\subset} \varphi(\Gamma_1)$ .

Applying the same argument to  $\bar{\varphi}$ , we deduce that  $\bar{\varphi}^{-1}(\Gamma_2) \cap \Gamma_1$  has finite index in  $\bar{\varphi}^{-1}(\Gamma_2)$ .

Then  $\Gamma_2 \cap \varphi(\Gamma_1)$  has finite index in  $\Gamma_2$ .

### Preview of main results

#### 1) Reduction theory (Borel-Harish-Chandra)

For a scalar product  $\langle \cdot, \cdot \rangle$  on  $\mathbb{C}^d$ ,  
 $\langle g \cdot u, v \rangle = \langle u, g^* v \rangle$ .

Def. An algebraic group  $G < GL_d(\mathbb{C})$  is called reductive if  $G^* < G$  for a scalar product.

Thm.  $G < GL_d(\mathbb{C})$  - reductive alg. group, defined over  $\mathbb{Q}$ ,  
 $X \subset \mathbb{C}^d$  - algebraic set, defined over  $\mathbb{Q}$ ;  
Assume that  $G$  acts transitively on  $X$ .

Then  $X(\mathbb{Z})$  is a union of finitely many orbits of  $G(\mathbb{Z})$ .

Def. A character of alg. group  $G \subset GL(\mathbb{C})$  is a polynomial homomorphism  $\chi: G \rightarrow \mathbb{C}^*$

Thm. If  $G$  is an alg. group, defined over  $\mathbb{Q}$ , and  $\nexists$  characters  $G \rightarrow \mathbb{C}^*$  with infinite image, defined over  $\mathbb{Q}$ ,

Then  $vol(G(\mathbb{R})/G(\mathbb{Z})) < \infty$ .

- examples:
- 1)  $vol(\mathbb{R}^d/\mathbb{Z}^d) < \infty$ .
  - 2)  $vol(SL_d(\mathbb{R})/SL_d(\mathbb{Z})) < \infty$ .
  - 3)  $Q =$  nondegenerate quad. form  
 $vol(O_Q(\mathbb{R})/O_Q(\mathbb{Z})) < \infty$ .

The group  $O_Q(\mathbb{Z})$  is "large" - not obvious.  
4) Dirichlet thm on units in number fields.

2) Structure of arithmetic groups.

Thm: Every arithmetic group is finitely generated, and defined by a finite number of relations.

Thm (Selberg lemma) Every arithmetic group has a torsion-free subgroup of finite index.

Thm (congruence subgroup property)  $\Gamma = SL_d(\mathbb{Z}), d \geq 3$ .  
Then every finite index subgroup of  $\Gamma$  contains  $\Gamma(m) = \{\gamma: \gamma \equiv id \pmod{m}\}$  for some  $m$ .

Thm (Margulis)  $\Gamma = SL_d(\mathbb{Z}), d \geq 3$ .  
If  $N \trianglelefteq \Gamma$ , then  $N$  has finite index or finite.

Thm (Tits alternative) If  $\Gamma < GL_d(\mathbb{C})$ , then  $\Gamma$  contains a free group or a finite index soluble subgroup.

### 3) Rigidity properties

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Thm (Margulis superrigidity; special case)

$\Gamma = \mathrm{SL}_d(\mathbb{Z})$ ,  $d \geq 3$ ,  $\varphi: \Gamma \rightarrow \mathrm{GL}_N(\mathbb{C})$ -homomorphism

Then  $\exists$  polynomial homomorphism  $\tilde{\varphi}: \mathrm{SL}_d(\mathbb{C}) \rightarrow \mathrm{GL}_N(\mathbb{C})$   
and finite index subgroup  $\Gamma' \leq \Gamma$  such that  
 $\varphi = \tilde{\varphi}$  on  $\Gamma'$ .

Def  $\Gamma \leq G(\mathbb{R})$  is a lattice if  $\Gamma$  is discrete  
and  $\mathrm{vol}(G(\mathbb{R})/\Gamma) < \infty$ .

examples: 1)  $\mathbb{Z}^d \subset \mathbb{R}^d$   
2)  $\mathrm{SL}_d(\mathbb{Z}) \subset \mathrm{SL}_d(\mathbb{R})$ .

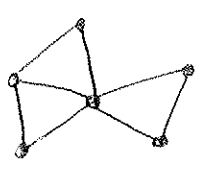
Thm (Margulis arithmeticity)

Let  $\Gamma$  be a lattice in  $\mathrm{SL}_d(\mathbb{R})$ ,  $d \geq 3$ .

For simplicity, assume that  $\mathrm{SL}_d(\mathbb{R})/\Gamma$  is not compact.

Then  $\exists$  an algebraic group  $G$ , defined over  $\mathbb{Q}$ ,  
polynomial map  $\varphi: G(\mathbb{R}) \rightarrow \mathrm{SL}_d(\mathbb{R})$   
such that  $\varphi(G(\mathbb{Z}))$  is commensurable with  $\Gamma$ .

### 4) Expander graphs



$G = (V, E)$  - finite  $d$ -regular graph  
 (every vertex has  $d$  neighbours)

$A \subset V$ , the boundary of  $A$ :  
 $\partial A = \{ \text{edges that connect } E \text{ to } V \setminus A \}$

Def. A family of  $d$ -regular graphs with  
 $G_n = (V_n, E_n)$   
 $|V_n| \rightarrow \infty$  and  $d$  fixed is called  
expander family if  $\exists c > 0$ :  
 $\forall A \subset V_n, |A| \leq \frac{1}{2} |V_n|: |\partial A| \geq c |A|$

application: efficient communication networks.

### Construction (Margulis)

$G_n = \Gamma / \Gamma(n)$ ,  $\Gamma = SL_N(\mathbb{Z})$ ,  $N \geq 3$ ,  
 is an expander family.