

Problem Set 2

HAND IN on MONDAY, October 16.

[Or leave in the course pigeon hole in the Main Maths building before 12pm.]

SET Exercises for Level 3: 1.3, 1.5 and 1.8.

SET Exercises for Level M: 1.3, 1.4, 1.5 and 1.8.

Exercise 1.1. Let $f(x) = 2x \pmod{1}$ be the doubling map on $X = [0, 1]$. Code $x \in [0, 1]$ with its itinerary with respect to $P_0 = [0, 1/2)$ and $P_1 = [1/2, 1)$. For a_0, a_1, \dots, a_n , where $a_i \in \{0, 1\}$ for $0 \leq i \leq n$, let $I(a_0, a_1, \dots, a_n)$ be the set of points whose itinerary begins with a_0, a_1, \dots, a_n .

- (a) Draw all sets $I(a_0, a_1, a_2)$;
- (c) Show that $I(a_0, a_1, a_2, \dots, a_n)$ is an interval of size $1/2^{n+1}$.

Exercise 1.2. Fix $m \in \mathbb{N}$, $m > 1$. Let $f_m : [0, 1] \rightarrow [0, 1]$ be the map $f_m(x) = mx \pmod{1}$.

- (a) Draw its graph for $m = 3$;
- (b) Show that f_m is *not* invertible;
- (c) Show that f_m is semi-conjugated to the shift map σ^+ on the set Σ_m^+ of one-sided sequences in the digits $\{0, 1, \dots, m-1\}$.

Exercise 1.3. (SET) We say that $g : Y \rightarrow Y$ is an extension of $f : X \rightarrow X$ if there is a semi-conjugacy $\psi : Y \rightarrow X$ between g and f .

- (a) Show that the baker map $F : \mathbb{R}^2/\mathbb{Z}^2 \rightarrow \mathbb{R}^2/\mathbb{Z}^2$ is an extension of the doubling map $f : \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{R}/\mathbb{Z}$, by verifying that the semi-conjugacy is given by the projection $\psi : \mathbb{R}^2/\mathbb{Z}^2 \rightarrow \mathbb{R}/\mathbb{Z}$ given by $\psi(x, y) = x$.
- (b) Assume that $f : X \rightarrow X$ and $g : Y \rightarrow Y$ are semiconjugated by $\psi : Y \rightarrow X$. Show that if y is a periodic point of period n for g , then $\psi(y)$ is a periodic point of period n for f . [Hint: Show first that $\psi \circ g^n = f^n \circ \psi$.]
- (c) Give an examples of an extension g of f and of a point $y \in Y$ such that $\psi(y)$ is a periodic point for f , but y is not a periodic point for g .

* **Exercise 1.4. (SET for level M)** Consider the coding of the doubling map with respect to the partition $\{P_0, P_1\}$, where $P_0 = [0, 1/2)$ and $P_1 = [1/2, 1)$. Let $\phi : [0, 1) \rightarrow \Sigma^+$ be the coding map which associate to a point its itinerary and $\psi : \Sigma^+ \rightarrow [0, 1)$ be the map which associate to a binary sequence the associated binary expansion (*see lecture notes*).

- (a) Describe the image of the coding map ϕ : what are all sequences in Σ^+ which appear as itineraries of orbits of the doubling map? Justify your answer.
- (b) Give an example a point $(a_i)_{i=1}^\infty \in \Sigma^+$ such that $\phi(\psi((a_i)_{i=1}^\infty)) \neq (a_i)_{i=1}^\infty$. Explain.

Exercise 1.5. (SET) Let $F : [0, 1)^2 \rightarrow [0, 1)^2$ be the Baker map.

- (a) Draw the set $R_{-2,1}(0, 1, 1, 0)$.
- (b) Describe the sets $R_{-n,n}(a_{-n}, \dots, a_n)$ (what is their shape? width? height?).

* (c) Show that there exists a point $(x, y) \in [0, 1)^2$ whose orbit under F is dense.

Exercise 1.6. Consider the following matrices with integer entries:

$$A_1 = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}; \quad A_2 = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}; \quad A_3 = \begin{pmatrix} 1 & 2 \\ 2 & 1 \end{pmatrix}; \quad A_4 = \begin{pmatrix} 3 & 2 \\ 1 & 1 \end{pmatrix}$$

- (a) For each of the matrices A_1, A_2, A_3 , say if the corresponding map $f_{A_i} : \mathbb{T}^2 \rightarrow \mathbb{T}^2$, $i = 1, 2, 3$ gives: a toral automorphism? a hyperbolic toral automorphism? Justify.
- (b) Draw geometrically (*as we did in class for the CAT map*) how f_{A_1} and f_{A_4} act on the unit square.

Exercise 1.7. Let $\sigma : \Sigma \rightarrow \Sigma$ be the shift map on the space $\Sigma = \mathbb{N}^{\mathbb{N}}$ of sequences $\underline{a} = (a_n)_{n \in \mathbb{N}}$ with a_i positive integers. Let $X = [0, 1) - \mathbb{Q}$ the set of irrational points in $[0, 1)$ and consider the map $\psi : \Sigma \rightarrow X$ given by

$$\psi(\underline{a}) = [a_1, a_2, \dots] = \frac{1}{a_1 + \frac{1}{a_2 + \dots}}$$

- (a) Show that ψ is a conjugacy between the Gauss map $G : X \rightarrow X$ and $\sigma : \Sigma \rightarrow \Sigma$.
- (b) Describe all fixed points of G in terms of their continued fraction expansion.
- (c) Is $\psi : \Sigma \rightarrow [0, 1)$ a conjugacy between $G : [0, 1) \rightarrow [0, 1)$ and $\sigma : \Sigma \rightarrow \Sigma$? Explain.

Exercise 1.8. (SET) Using the relation between itineraries of the Gauss map and continued fraction entries:

- (a) Find the continued fraction entries of $\alpha = \frac{57}{125}$ using itineraries of G ;
- * (b) Construct an example of a point $x \in [0, 1)$ such that the orbit $\mathcal{O}_G^+(x)$ accumulates to $\frac{1}{3}$, that is there is an increasing subsequence n_k such that

$$\lim_{k \rightarrow \infty} G^{n_k}(z) = \frac{1}{3}.$$

Justify your answer.

Exercise 1.9. Using itineraries of the Gauss map:

- (a) write the continued fraction expansions of the number $0 \leq \alpha \leq 1$ such that

$$\alpha = \frac{1}{5 + \frac{1}{4 + \alpha}}$$

- (b) construct an example of a point $x \in [0, 1)$ which is non periodic under G and each even iterate (that is, each iterate of the form $G^{2n}(x)$ for $n \in \mathbb{N}$) belongs to the left half of the unit interval.

* **Exercise 1.10.** Let $G : [0, 1] \rightarrow [0, 1]$ be the Gauss map. Show that if α is a periodic point of period n for G then it is a quadratic irrational.

[Hint: Try first for $n = 2$.]